

To:  
**Financial Supervisory Authority**  
Financial Instruments and Investments Sector

Bucharest Stock Exchange

### CURRENT REPORT

In compliance with the provisions of Law no. 24/2017 on issuers of financial instruments and market operations and Regulation no. 5/2018 on issuers of financial instruments and market operations

**Date of the report:** July 3, 2024

**Name of issuer:** SSIF BRK Financial Group SA

**Headquarters:** Cluj-Napoca, 119 Moșilor Street, Cluj County

**Telephone/fax no:** 0364-401.709/0364-401.710

**Tax Identification Code:** 6738423

**Trade Register no/date:** J12/3038/1994

**Subscribed and paid share capital:** RON 50,614,492.80

**Regulated market where issued securities are traded:** Bucharest Stock Exchange, - Premium Tier, market symbol: BRK

**Important events to be reported:** The Board of Directors' Resolution regarding the convening of the Extraordinary General Meeting of Shareholders on August 5/6, 2024

#### Convening of the Extraordinary General Meeting of Shareholders on August 5/6, 2024

Based on the Resolution dated **July 2, 2024**, the Board of Directors of **SSIF BRK FINANCIAL GROUP S.A.**, registered with the Cluj Trade Registry under no. J12/3038/1994, CUI 6738423, with its registered office at Cluj-Napoca, 119 Moșilor Street, (hereinafter referred to as the "Company"), in accordance with the provisions of Law no. 31/1990 on companies, Law no. 126/2018 on financial instruments markets and the regulations issued by the Financial Supervisory Authority (ASF) for its implementation, as well as the provisions of the Company's Articles of Association, convenes the Extraordinary General Meeting of Shareholders (hereinafter referred to as the "**EGMS**"), in accordance with the legal provisions and the Company's Articles of Association (the "**Articles of Association**"), for **August 5, 2024, at 12:00**, at the Company's registered office, 119 Moșilor Street, Cluj-Napoca, Cluj County, for all shareholders registered in the shareholders' register at the end of **July 26, 2024**, established as the reference date. In the event that the statutory conditions or any other validity conditions are not met, the EGMS will be held on **August 6, 2024, at 12:00**, at the same place, with the same agenda, for all shareholders registered in the shareholders' register on the same reference date.

**The agenda for the EGMS will include the following points:**

1. Approval of the amendment to Article 13, paragraph 1 of the Articles of Association, which will have the following content:

„ Article 13: The Board of Directors

1. *The Board of Directors is composed of 3 members, who can be either executive or non-executive directors. The majority of the Board of Directors will consist of non-executive directors. The members of the Board of Directors are elected for a term of 4 years and are eligible for re-election.*”

2. Approval of August 23, 2024, as the registration date (ex-date August 22, 2024) for shareholders who will be affected by the resolutions adopted by the Extraordinary General Meeting of Shareholders, in accordance with Article 87, paragraph (1) of Law no. 24/2017.
3. Approval of the mandate, with the possibility of substitution, of Mrs. Ivan Monica-Adriana to carry out all the procedures and formalities provided by law, including the amendment and signing of the Articles of Association to implement the resolutions of the Meeting, to submit and retrieve documents, and to sign on behalf of the Company for this purpose, in relation to the Trade Registry, ASF, BVB, as well as other public or private entities.

At the EGMS, all shareholders registered at the end of **July 26, 2024**, established as the reference date, are entitled to participate and vote. Access for shareholders entitled to participate in the EGMS is granted upon simple proof of their identity. For individual shareholders, this is done with their identity card, and for legal entity shareholders and represented individual shareholders, with a special or general power of attorney given to the individual representing them, along with the representative's identity card. The power of attorney form can be obtained from the Company's headquarters starting from **July 5, 2024**, and from the website [www.brk.ro](http://www.brk.ro), being available in both Romanian and English.

The special or general power of attorney will be made in three original copies (one for the company, one for the principal, and one for the proxy). The powers of attorney, along with a copy of the identity card or registration certificate and the ascertaining certificate issued by the trade registry or any other document issued by a competent authority in the state where the shareholder is legally registered (no older than 3 months from the date of publication of the general meeting's notice), will be submitted/sent in original to the Company's headquarters or sent by email by **August 3, 2024, at 12:00**, to the email address [office@brk.ro](mailto:office@brk.ro).

In the case of a special power of attorney given by a shareholder to a credit institution providing custody services, it must be signed by the respective shareholder and accompanied by a declaration on their own responsibility given by the credit institution that received the representation authorization through the special power of attorney, stating that:

The credit institution provides custody services for the respective shareholder,

The instructions in the special power of attorney are identical to the instructions in the SWIFT message received by the credit institution for voting on behalf of the respective shareholder,

The special power of attorney is signed by the shareholder.

On the date of the meeting, upon entering the general meeting room, the designated representative will hand over the original power of attorney, if it was sent by email with an incorporated electronic signature, and a copy of their identification documents.

One or more shareholders, individually or together representing at least 5% of the share capital, have the right to add items to the agenda of the General Meetings.

Proposals for adding new items to the agenda of the general meeting, as well as draft resolutions for the items included or proposed to be included on the agenda, must be accompanied by copies of the initiators' identification documents. These refer to identity documents (ID card) for individuals and registration certificates and ascertaining certificates or any other document issued by a competent authority in the state where the shareholder is legally registered (no older than 2 months from the date of publication of the general meeting's notice), indicating the legal representatives for the legal entity shareholders of the Company. Documents attesting the status of legal representative drafted in a foreign language other than English will be accompanied by a translation done by an authorized translator, into Romanian or English, and the determination of the legal representative status will be based on the shareholder list provided by the Central Depository.

Proposals must be submitted to the Company's headquarters no later than **July 22, 2024, at 12:00**, in a sealed envelope with the clear and uppercase mention "FOR THE EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS" or sent by email, with an incorporated electronic signature in accordance with Law no. 455/2001 on electronic signatures, within the same deadline to the email address: [office@brk.ro](mailto:office@brk.ro), mentioning in the subject "FOR THE EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS".

Shareholders are informed that regarding proposals for adding new items to the agenda, it is necessary for each proposed item to include a justification or a draft resolution proposed for adoption by the general meeting.

The latest updated version of the powers of attorney and correspondence voting ballots will be available on the Company's website starting **July 25, 2024, at 12:00**.

Each shareholder has the right to address written questions to the Board of Directors before the date of the general meeting regarding the items on the agenda, in accordance with Articles 198-199 of ASF Regulation no. 5/2018. A response is considered given if the requested information is published on the Company's website.

Shareholders registered at the reference date in the shareholders' register have the option to vote by correspondence before the date of the meeting using the correspondence voting form. The correspondence voting form, along with a copy of the identity document and/or registration certificate and the ascertaining certificate issued by the Trade Registry or any other shareholder document issued by a competent authority in the state where the shareholder is legally registered (no older than 3 months from the date of publication of the general meeting notice), will be sent to the Company in original at its headquarters or by email, with an extended electronic signature incorporated in accordance with Law no. 455/2001, to the email address [office@brk.ro](mailto:office@brk.ro), by **August 3, 2024, at 12:00**. The forms will be drafted either in Romanian or in English. Shareholders who have voted by special proxies or correspondence ballots can modify their initial voting option or the means of expressing their vote, with the last vote expressed and registered by **August 3, 2024, at 12:00** being considered valid.

If the shareholder who has expressed their vote by correspondence personally attends or is represented at the general meeting, the correspondence vote expressed for that general meeting will be canceled. In this case, only the vote expressed in person or by representative will be considered.

The forms for correspondence voting ballots, special proxy forms (both available in Romanian and English), documents, and informational materials related to the issues included on the agenda, including draft resolutions, will be made available to shareholders starting **July 5, 2024, at 12:00** on the Company's website at [www.brk.ro](http://www.brk.ro) and at the Company's headquarters at 119 Moșilor Street, Cluj-Napoca.

Documents drafted in a foreign language other than English (with the exception of identity documents valid in Romania) will be accompanied by a translation done by an authorized translator into Romanian or English.

The Board of Directors recommends that shareholders review the supporting materials for the EGMS, available on the website [www.brk.ro](http://www.brk.ro) starting from the date of publication of the Notice in the Official Gazette of Romania, Part IV.

**Monica Ivan**  
General Manager